



Inspector General Insights

Background:

The Clerk's Memorandum of Understanding (MOU) 0513-19 with the Florida Highway Safety & Motor Vehicle User Access require an annual evaluation of the internal controls governing the use and dissemination of personal data.

Objective:

Our objective was to evaluate and determine if the policies, procedures, and internal controls pertaining to personal data obtained from the FL HSMV Data Exchange process are adequate to protect the data from unauthorized access, distribution, use, modification, or disclosure.

Scope:

We tested access to records during the audit period of 4/1/2019 to 4/1/2020.

Observations:

What's Working:

- ✓ The Clerk's Information Technology (CIT) policies are established to adequately protect personal information and are signed off by the CIT Director.

Needs Improvement:

- One out of seven internal controls were found to be deficient. However, this access control deficiency was mitigated by another access control which was operating effectively. Accordingly, no exceptions occurred. To protect the integrity of the controls in place, they are not disclosed herein..

Recommendations & Actions:

- ✓ All control issues found during the audit have been corrected to prevent recurrence.

Conclusion:

- ✓ The related internal controls are adequate to protect the personal data from unauthorized access, distribution, use, modification or disclosure.

Total # Transactions	Amounts Audited or Reviewed	Questioned Costs	Taxpayer Savings	Findings
140	N/A	N/A	N/A	1